

C. J. K.

PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/601,267 / Patent No. 7,084,267
	Filing Date	August 25, 2006 / Issued August 1, 2006
	First Named Inventor	William Nicol Keith
	Art Unit	1637
	Examiner Name	Christopher M. Babic
Total Number of Pages in This Submission	Attorney Docket Number	0380-P03870US0

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	From PTO/SB/44- Certificate of Correction Request for Certificate of Correction
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

In the event the that a fee is required in connection with this submission and not enclosed, the Commissioner is authorized to charge such fee to the account of the undersigned attorneys, Account No. 04-1406. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	DANN, DOFFMAN, HERRELL AND SKILLMAN - Cusotmer No. 000110		
Signature			
Printed name	Kathleen D. Rigaut, Ph.D., J.D.		
Date	November 16, 2006	Reg. No.	43,047

**Certificate**  
**NOV 22 2006**  
**of Correction**

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Jane C. Bogan	Date	November 16, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**NOV 24 2006**



# FEE TRANSMITTAL

Complete if known

Patent No. 7,084,267 / Application No. 09/601,267

Issued : August 1, 2006 / Filing Date: August 25, 2000

First Named Inventor: William Nicol Keith

Group Art Unit: 1637

Examiner Name: Christopher M. Babic

Total Amt. of Payment: (1)+(2)+(3)= \$100

Attorney Docket Number: 0380-P03870US0

## METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

☐ Charge indicated fees

☒ Charge additional fees

☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL & SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Check in the amount of \$100

## FEE CALCULATION

1. FILING FEE

Fee

### Fee Description

Utility filing fee

Design filing fee

Plant filing fee

Reissue filing fee

SUBTOTAL (1)

## FEE CALCULATION (continued)

### ADDITIONAL FEES

#### Fee Description

#### Fee Paid

Surcharge-late filing fee or oath

Surcharge - late provisional filing fee or cover sheet

Extension for response within two months

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to revive unavoidably abandoned application

Petition to revive unintentionally abandoned application

Issue Fee

Petitions to the Commissioner

Petitions related to provisional applications

Submission of Information Disclosure Stmt.

Recording each patent assignment per property

Other fee (specify) Certificate of Correction

100.

SUBTOTAL (3) \$100

2.

No.

Extr

Fee

Total Claims

-20

=

x

=

Independent Claims

-3

=

x

=

Multiple Dependent

(First presentation)

SUBTOTAL (2)

Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Reg. Number 43,047

Deposit Account User ID

Signature Kathleen D. Rigaut

Date November 16, 2006

04-1406

NOV 24 2006



**UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re:** U.S. Patent No. 7,084,267  
**Issued:** August 1, 2006  
**To:** William Nicol Keith  
**Title:** PROMOTER REGIONS OF THE MOUSE AND HUMAN TELOMERASE RNA COMPONENT GENES

Certificate of Mailing Under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited on November 16, 2006 with the United States Postal Service as first class mail in an envelope addressed to COMMISSIONER OF PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450.

November 16, 2006  
Date of Certificate

Jane C. Bogan  
Jane C. Bogan

To the Hon. Commissioner  
for Patents

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER § 1.323**

Enclosed herewith is one (1) sheet of Certificate of Correction Form PTO/SB/44 showing an Assignee which was inadvertently omitted from the front of the above-identified patent. The Assignment Document was recorded on April 27, 2006, before issuance of the patent. A copy of the recorded Assignment is also enclosed. The required fee under 37 C.F.R. §1.20(a) in the amount of \$100.00 is enclosed. In the event that any additional fee is required in connection with this submission and not enclosed, the Commissioner is authorized to charge such fee to the account of the undersigned attorneys, Account No. 04-1406. A duplicate copy of this sheet is enclosed.

It is respectfully requested that a Certificate of Correction be issued to correct the above-noted error.

Respectfully submitted,  
DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant

Customer No. 000110  
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By Kathleen D. Rigaut  
Kathleen D. Rigaut, Ph.D., J.D.  
PTO Registration No. 43,047

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,084,267  
APPLICATION NO.: 09/601,267  
ISSUE DATE : August 1, 2006  
INVENTOR(S) : William Nicol Keith

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Assignee: The University Court of the University of Glasgow  
Glasgow, United Kingdom

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Kathleen D. Rigaut, Ph.D., J.D., / DANN DORFMAN HERRELL AND SKILLMAN  
1601 Market Street, Suite 2400  
Philadelphia, Pennsylvania 19103

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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NOV 24 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 27, 2006

PTAS

**\*500098452A\*****\*500098452A\***KATHLEEN D. RIGAUT, PH.D., J.D.  
1601 MARKET STREET  
SUITE 2400  
PHILADELPHIA, PA 19103UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENTTHE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/27/2006

REEL/FRAME: 017539/0544  
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 0380-P03870US0

## ASSIGNOR:

KEITH, WILLIAM NICOL

DOC DATE: 04/24/2006

## ASSIGNEE:

THE UNIVERSITY COURT OF THE  
UNIVERSITY OF GLASGOW  
UNIVERSITY AVENUE  
GLASGOW, UNITED KINGDOM G12 8QQ

SERIAL NUMBER: 09601267

FILING DATE: 08/25/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: PROMOTER REGIONS OF THE MOUSE AND HUMAN TELOMERASE RNA COMPONENT  
GENES

017539/0544 PAGE 2

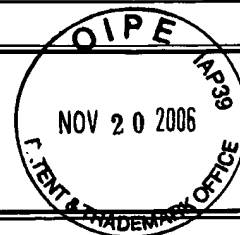
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

2006

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

04/27/2006  
500098452



SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

## CONVEYING PARTY DATA

Name	Execution Date
William Nicol Keith	04/24/2006

## RECEIVING PARTY DATA

Name:	THE UNIVERSITY COURT OF THE UNIVERSITY OF GLASGOW
Street Address:	University Avenue
City:	Glasgow
State/Country:	UNITED KINGDOM
Postal Code:	G12 8QQ

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	09601267

## CORRESPONDENCE DATA

Fax Number: (215)563-4044

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: (215) 563-4100

Email: KRigaut@ddhs.com

Correspondent Name: Kathleen D. Rigaut, Ph.D., J.D.

Address Line 1: 1601 Market Street

Address Line 2: Suite 2400

Address Line 4: Philadelphia, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:

0380-P03870US0

NAME OF SUBMITTER:

Kathleen D. Rigaut, Ph.D., J.D&gt;

Total Attachments: 2

source=Assignment#page1.tif

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CH \$40.00 09601267

## **ASSIGNMENT OF US PATENT APPLICATION**

WHEREAS,

**William Nicol KEITH**

whose address is

University of Glasgow, CRC Beatson Laboratories, Garscube Estate, Switchback Road,  
Glasgow G61 1BD, United Kingdom

(hereinafter referred to as the "ASSIGNOR")

has made an invention entitled PROMOTER REGIONS OF THE MOUSE AND HUMAN  
TELOMERASE RNA COMPONENT GENES (hereinafter referred to as the "Invention")  
for which ASSIGNOR has filed on 29 July 2000 an application for Letters Patent of the United  
States of America, Serial No. 09/601,267, having an application date of 29 January 1999;

And WHEREAS

ASSIGNOR made the said invention in the course of his employment by

**THE UNIVERSITY COURT OF THE UNIVERSITY OF GLASGOW**

a corporate body organized under the laws of the United Kingdom

and having a principal place of business at University Avenue, Glasgow G12 8QQ,  
United Kingdom

(hereinafter referred to as the "ASSIGNEE")

In circumstances that satisfy the conditions set out in Section 39(1) of the United Kingdom  
Patents Act 1977;

And WHEREAS

by virtue of Section 39(1) of the said Patents Act, for the purposes of that Act and for all other  
purposes, the Invention belongs, as between the ASSIGNOR and the ASSIGNEE, to the  
ASSIGNEE absolutely;

And WHEREAS

the ASSIGNEE is desirous of acquiring the entire right, title and interest in and to the  
aforesaid United States patent application and the invention(s) and improvement(s) therein  
disclosed for the United States of America, and any Letters Patent which may issue therefor  
in the United States and all divisions, continuations, reissues, reexaminations, renewals  
and/or extensions thereof;

NOW THEREFORE in consideration of the sum of ten U.S. dollars (\$10.00) and other  
good and valuable consideration, the receipt and sufficiency of which is hereby  
acknowledged, the ASSIGNOR does hereby assign, sell, transfer and set over unto the  
ASSIGNEE the entire right, title and interest in and to said application and the invention(s)  
and improvement(s) therein disclosed, for the United States of America, and any Letters  
Patent which may issue therefor in the United States and all divisions, continuations,  
reissues, reexaminations, renewals and/or extensions thereof, said ASSIGNEE to have and  
to hold the interests herein assigned to the full ends of the terms of said Letters Patent and  
any and all divisions, continuations, reissues, reexaminations, renewals and/or extensions  
thereof, respectively, as fully and entirely as the same would have been held and enjoyed by  
the ASSIGNOR had this assignment not been made.

**NOV 24 2006**



And for the consideration aforesaid, ASSIGNOR agrees that ASSIGNOR will, upon request, communicate to said ASSIGNEE or the representatives thereof any facts known to ASSIGNOR respecting the invention(s) and improvement(s) of the said United States application, and will, upon request, but without expense to ASSIGNOR, testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, reissue, reexamination, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by said ASSIGNEE or by counsel for said ASSIGNEE, to assist or enable said ASSIGNEE to obtain and enforce full benefits from the rights and interests herein assigned.

This assignment shall be binding upon the ASSIGNOR's heirs, executors, administrators, successors and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of the ASSIGNEE.

The Commissioner of Patents is requested to issue such Letters Patent in accordance with this assignment.

Signature: 

Name: William Nicol KEITH

Date: 24/04/06

NOV 24 2006